#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ernest C. Chen

I hereby certify that this correspondence is being electronically filed with the United

Paterits, P. O. Box 1450, Alexandria, VA 223/3-14/0 fon August 22, 2007

States Patent and Trademark Office

Mail Stop AF, Commissioner for

addressed to:

Serial No.: 10/693,135

Filed: October 24, 2003

For: LAYERED MODULATION FOR

> TERRESTRIAL ATSC **APPLICATIONS**

Georgann S. Grunebach

Typed or printed name of person signing certificate

Group Art Unit: 2611

Examiner: BAYARD, Emmanuel

Date: August 22, 2007

ISSUE AND PUBLICATION FEES DUE: SEPTEMBER 20, 2007

## SUPPLEMENTAL DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 (d) WITH FEE AS SET FORTH IN §1.17(p)

Mail Stop AF Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 C.F.R. §1.56.

This statement should be considered because it is submitted after the period specified under 37 C.F.R. §1.97(c), but filed on or before payment of the issue fee as set forth in 37 C.F.R. § 1.97(d).

# **CITATION NUMBER 4**

Certification Under 37 C.F.R. §1.97(e)(1)

Each item of information contained in the information disclosure statement for citation number 4 was first cited in a communication from a foreign patent office in a counterpart foreign application of a related U.S. patent application not more than three months prior to the filing of the information disclosure statement (certification under 37 C.F.R. § 1.97 (e)(1)).

### **CITATION NUMBERS 1 THROUGH 3 AND 5**

Certification Under 37 C.F.R. §1.97(e)(2)

No item of information contained in the information disclosure statement for citation numbers 1 through 3 and 5 was cited in a communication from a foreign patent office in a counterpart foreign application of a related U.S. patent application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement. (certification under 37 C.F.R. § 1.97 (e)(2)).

### PAYMENT OF FEE SET FORTH IN §1.17(p)

Please charge Deposit Account No. <u>50-0383</u> of Hughes Electronics Corporation, El Segundo, California, in the amount of <u>\$180.00</u>. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to that account.

This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

3y:

Georgann S. Grunebach, Reg. No. 33,179

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August 22, 2007

Substitute for form PTO/SB/08A	Con	ete if Known	
INFORMATION DISCLOSURE	Application Number	10/693,135	
STATEMENT BY APPLICANT	Filing Date	October 24, 2003	
O'MEMENT BY ATTERDANT	First Named Inventor	Ernest Chen	
	Art Unit	2611	
	Examiner Name	BAYARD, Emmanuel	
Date: August 22, 2007			
Sheet 1 of 1	Attorney Docket Number	PD-201062	

1 US-6,678,336 01-2004 Katoh et al. 2 US-6,990,627 01-2006 Uesugi et al.	Name of Pa Applicant of	Publication Date MM-DD-YYYY	Document Number  Number-Kind Code	Cite No.	Examiner Initials*
	Katoh et	01-2004	US-6,678,336	1	
	Uesugi e	01-2006	US-6,990,627	2	
3 US-7,230,992 06-2007 Walker et al.		06-2007	US-7,230,992	3	
er et al.	nt of et gi e	Katoh Uesu	MM-DD-YYYY Applica  01-2004 Katoh  01-2006 Uesug	Number-Kind Code         MM-DD-YYYY         Application           US-6,678,336         01-2004         Katoh           US-6,990,627         01-2006         Uesug	No.         Number-Kind Code         MM-DD-YYYY         Application           1         US-6,678,336         01-2004         Katoh           2         US-6,990,627         01-2006         Uesug

		FOF	REIGN PATEN	T DOCUMENTS		
Examiner Initials*	Cite No.	Foreign Patent Document  Country Code-Number-Kind  Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
	4	JP 2002118611 (English abstract)	04-2002	Sharp KK		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	5	PALICOT, J., VEILLARD, J.; "Possible Coding and Modulation Approaches to Improve Service Availability for Digital HDTV Satellite Broadcasting at 22 GHz"; IEEE Transactions on Consumer Electronics; Vol. 39, Issue 3; August 1993; pages 660-667	

ALLOWED CO-PENDING RELATED APPLICATIONS					
Examiner Initials*	Application Number	Filing Date	Inventor(s)	Notice of Allowance Date	
	10/532,632	04-25-2003	Chen et al.	July 29, 2007	

Examiner	Date	
Signature	Considered	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.